The Endoscopically Controlled Osteotome Sinus Floor Elevation: A Preliminary Prospective Study

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Purpose: It was the aim of the present prospective study to quantify the gain in height of implant sites by endoscopically controlled osteotome sinus floor elevations (ECOSFE) with simultaneous implant placement and to report the number of sinus membrane perforations. Materials and Methods: From October 1999 to December 2000, of 92 sinus floor elevations, 18 were carried out endoscopically controlled with an osteotome technique. As augmentation material, β -tricalcium phosphate (β -TCP) or autogenous bone was used; 22 implants were placed. Results: The residual height of the alveolar crest in the posterior maxilla was 6.8 ± 1.6 mm on average. The implant lengths ranged from 10 to 16 mm (mean implant length 12.2 ± 1.4 mm). They were significantly larger than the residual height of the alveolar crests (P < .0005). Elevation of the sinus floor with an osteotome had to be supported by conventional sinus floor elevation instruments after a mean elevation of 3.0 ± 0.8 mm to prevent perforation of the sinus membrane. However, 1 perforation occurred, which was repaired with a periosteal patch. At stage 2 surgery, 2 implants were removed because of mobility. Endoscopic control revealed one case in which β -TCP could be found within the sinus; another case showed areas of polypoid mucosa on the sinus floor. Discussion: With the ECOSFE, perforations of the sinus membrane can be visualized; however, they cannot be avoided. Although this technique is less invasive than the lateral window technique, it cannot be recommended as a standard procedure in the posterior maxilla because of the large amount of additional equipment needed and the technically demanding procedure. Conclusion: The use of the ECOSFE should be confined to scientific trials. (INT J ORAL MAXILLO-FAC IMPLANTS 2002;17:557-566)

Key words: dental implantation, endoscope, maxillary sinus, membrane perforation, posterior maxilla, sinus floor elevation

The edentulous posterior maxilla generally provides a limited amount of bone volume because of atrophy of the ridge and pneumatization of the maxillary sinus.¹ The residual bone is often Type IV in quality.²⁻⁴ The excessive loss of implants in this region has been described.³ Surgical techniques are of particular importance to increase the success rates of dental implants in the posterior maxilla.⁵ The

²Associate Professor, Department of Oral and Maxillofacial Surgery, University of Erlangen-Nuremberg, Germany. ³Professor and Head, Department of Oral and Maxillofacial Surgery, University of Erlangen-Nuremberg, Germany. osteotome technique has been reported to improve the survival rate of implants in the residual bone of the posterior maxilla.⁶ The osteotome sinus floor elevation can be carried out with an implant survival rate higher than 95%.⁷ However, when osteotome sinus floor elevation is applied without sinuscopic control, a direct inspection of the sinus membrane is not possible,⁸ and during preparation of the implant site a perforation may not be recognized.

Antroscopy of the maxillary sinus is a well-established diagnostic tool. It can be performed in outpatients under local anesthesia with a minimum of discomfort.⁹ The use of sinuscopy in the perioperative care of patients who have undergone sinus floor elevations is a standard technique.^{10–12} The intraoperative use of this technique has also been described.^{13–15} When an internal sinus floor elevation is combined with endoscopic control for the augmentation procedure, reduced invasivity and patient morbidity has

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been claimed.¹⁴ Although the benefit of the osteotome technique for the internal sinus floor elevation has been described previously, there is only one report available on an endoscopically controlled procedure.¹³ However, this study did not have a prospective design.

The aim of the present prospective study was to quantify the gain in height of implant sites by endoscopically controlled osteotome sinus floor elevations (ECOSFE) and simultaneous implant placement, and to report the number of sinus membrane perforations. Moreover, control antroscopy of the maxillary sinus was carried out 6 months after implant placement to document the condition of the sinuses at the time of stage 2 surgery.

PATIENTS AND METHODS

From October 1999 to December 2000, 92 sinus floor elevations were carried out with different techniques at the Department of Oral and Maxillofacial Surgery of the University of Erlangen-Nuremberg, Germany. Fourteen patients (7 women, 7 men; mean age 51.4 ± 16.2 years, range 22 to 74 years, 2 edentulous, 12 partially edentulous patients, 18 sinuses) gave their informed consent to implant placement with the ECOSFE and an antroscopy during stage 2 surgery 6 months postoperatively. A prospective design was chosen for the study (Fig 1). Panoramic radiographs and Water's views were assessed preoperatively. Patients were excluded if they showed pathologic findings or had a history of maxillary sinus diseases or operations. A total of 22 dental implants were placed (5 Ankylos,

Degussa Dental, Hanau, Germany; 5 Brånemark Mk II, Nobel Biocare, Göteborg, Sweden; 4 Frialit-2, Friadent, Mannheim, Germany; 8 ITI, Straumann, Waldenburg, Switzerland) (Table 1).

Fig 1

study.

Prospective design of the

The surgical procedure was performed using a standardized technique. Treatment of the posterior maxilla was carried out under local anesthesia with 2 mL Ultracain D-S (Hoechst Marion Roussel Deutschland, Frankfurt, Germany). No premedication or sedation was used. The maxillary sinus was punctured without flap retraction in the middle of the canine fossa with a trocar of 5 mm in diameter. Sinuscopes with view angles of 70, 90, and 120 degrees were used under video monitoring (Karl Storz, Tuttlingen, Germany). The continuous sinuscopy during the whole operation required an additional surgeon. Prior to sinus floor elevation, the sinus was examined and the natural ostium was inspected. An irrigation test with saline solution was performed to confirm communication with the nasal cavity. Inflammatory and allergic alterations of the sinus mucosa were documented. If no pathologic findings were encountered, the sinus floor elevation was carried out.

After a crestal incision, a mucoperiosteal flap was raised. To secure proper alignment of the implants, surgical templates were used. A 2-mm-deep primary pilot drilling was followed by preparation of the implant site with osteotomes of increasing diameter. The tips of the osteotomes were concave (Frialit-2 BoneCondenser, Friadent). The osteotomes D1, D2, and D3.8 were used when implants of 3.75, 3.8, or 4.1 mm in diameter were placed. For an implant diameter of 4.5 mm, the D4.5 osteotome was applied additionally. Each osteotome remained in

3 -	Table 1 Patient Data								
P F I	atient no./ nitials	Sex	Age (y)	Type of implant(s)	Implant location	Implant diameter (mm)	Implant length (mm)		
1))	/B.A.	М	74	Brånemark Mk II	Right second premolar Right first molar	4 4	12 12		
2	/B.Z.	Μ	54	ITI	Right second premolar Left second premolar	4.1 4.1	12 12		
3	/B.J.	Μ	67	Ankylos	Right second premolar Left second premolar	4.5 4.5	12 12		
	/F.W.	Μ	58	Ankylos	Right second premolar Left second premolar Left first molar	4.5 4.5 4.5	12 12 10		
5 5	/H.E.	F	41	Frialit-2	Right first premolar	3.8	13		
í 6	/K.A.	F	63	Frialit-2	Left second premolar	4.5	13		
7	/K.M.	F	44	Brånemark Mk II	Right second premolar Left second premolar	4 5	10 12		
2 8	/O.G.	Μ	26	ITI	Right second premolar	4.1	16		
9	/P.G.	Μ	58	Frialit-2	Right first molar	4.5	13		
21	0/R.A.	F	67	ITI	Left first molar	4.8	10		
5 1	1/S.R.	F	43	Brånemark Mk II	Right first molar	4	10		
5 1	2/S.G.	F	66	ITI	Left second premolar Left first molar	4.1 4.1	14 14		
1	3/S.S.	Μ	37	ITI	Left second premolar Left first molar	4.1 4.1	12 12		
1	4/T.S.	F	22	Frialit-2	Left first permolar	4.5	13		

M = male; F = female.

Mean implant diameter: 4.3 ± 0.3 mm; mean implant length: 12.2 ± 1.4 mm.

the implant site for 1 minute before the next diameter was used.

The initial osteotome was gently cut to depth with hand pressure or light malleting, without elevation of the sinus floor. Subsequently, with largerdiameter osteotomes, only a lateral compression of the spongious bone was carried out. When the final osteotome with the widest concave tip was used, the sinus floor elevation was performed under endoscopic control. The cortical plate was punched out of the sinus floor with the adherent membrane, and a tent-like formation was created (Figs 2a and 2b). The height of the residual alveolar bone was measured with a depth gauge as the distance from the sinus floor (endoscopic control) to the crest of the alveolar ridge. The cortical plate was lifted with the osteotome until no further concomitant spontaneous dissection of the sinus membrane from the sinus floor occurred in the periphery of the elevated region and visible tension of the sinus membrane revealed the risk of rupture. At this point, the height of the elevation was measured again with the depth gauge. Subsequently, the endoscopic view was used to control the dissection of the mucosa from the sinus floor, which was performed with a blunt elevator (Figs 3a and 3b). The extent of the dissection was limited by the geometry of the elevator and the diameter of the implant cavity. At the end of the procedure, all implant sites were tested for perforations of the sinus membrane by the Valsalva maneuver.

For the sinus augmentations, 0.5 cm^3 of particulated autogenous bone from the retromolar region (7 sinuses) or 0.5 cm^3 of β -tricalcium phosphate (β -TCP) granules (Cerasorb, Curasan Pharma, Kleinostheim, Germany; 11 sinuses) were applied. The largest osteotome was reinserted to position the grafting material in the newly formed space between the sinus membrane and the sinus floor (Figs 4a and 4b). Subsequently, the implant was placed. The mucoperiosteal flap was repositioned and sutured (Ethilon 4/0, Ethicon, Norderstedt, Germany). The operation time was measured from the mucoperiosteal incision until the final suture. A panoramic radiograph was assessed postoperatively.

Perioperatively, an antibiotic was administered 6 hours before and 6 hours after surgery. The postoperative treatment comprised 400 mg ibuprofen (Tabalon, Hoechst Marion Roussell Deutschland) and the intranasal application of xylometazoline (Ortiven 0.1%, Novartis Consumer Health, Munich,

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Figs 2a and 2b A cortical plate is punched out of the sinus floor and elevated with the adherent sinus membrane by an osteotome (*left*, schematic drawing; *right*, sinuscopic view).



Figs 3a and 3b Dissection of the sinus membrane from the sinus floor with a blunt elevator (*left,* schematic drawing; *right,* sinuscopic view).



Figs 4a and 4b Reinsertion of the osteotome with grafting material (left, schematic drawing; right, sinuscopic view).



Fig 5 Endoscopic examination 6 months postoperatively. The sinus membrane shows no signs of inflammation or perforation.



Fig 6a Preoperative clinical situation—missing maxillary right first premolar.



Fig 6b Preoperative panoramic radiograph.



Fig 6c Direct postoperative panoramic radiograph.



Fig 6d Prosthetic restoration 6 months after surgery.



Fig 6e Panoramic radiograph 6 months after surgery.

Germany) for 3 days. The sutures were removed at the seventh postoperative day.

Five months after surgery, panoramic radiographs were obtained and assessed. At the time of stage 2 surgery 6 months postoperatively, sinuscopy was again carried out through the canine fossa. The natural ostium was inspected. Inflammatory alterations of the sinus mucosa were documented (Fig 5). The implants were uncovered and the abutment connection was performed with a torque of 20 Ncm. Implants that showed mobility were removed. After 7 postoperative days, prosthodontic treatment was initiated (Figs 6a to 6e).

Statistics

Mean values are given with standard deviations. The Wilcoxon test was used for comparisons of paired samples when normality of the variables could not be assumed because of small case numbers; *P* values equal to or smaller than .05 were considered significant. All calculations were done using SPSS for Windows (SPSS, Chicago, IL).

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Table	2 Intrac	operative	and Foll	ow-up Da	ta					
Patient no.	Region of placed implant	Vertical dimension of bone (mm)	Implant length (mm)	Elevation (mm)	Visible perforation	Valsalva maneuver	Augmentation material	P Duration of surgery (min)	atholog findings 6 mo postop	ic Loss of implants
1	15 16	8 8	12 12	2 3	Yes No	Negative Negative	β-ΤϹΡ β-ΤϹΡ	115*	Yes* Yes*	At stage 2 surgery
2	15 25	7 8	12 12	3 2	No No	Negative Negative	A A	72 80	No No	No No
3	15 25	9 8	12 12	3 3	No No	Negative Negative	β-TCP β-TCP	89 64	No No	No No
4	15 25 26	5 7	12 12 10	5 4 2	No No	Negative Negative	Α β-TCP & TCP	82 95*	No No	No No
5	14	7	13	4	No	Negative	A A	47	No	No
7	25 15 25	9 7	13 10 12	3	No	Negative	β-ΤϹΡ β-ΤϹΡ	65 60	No	No
8	15	9	16	3	No	Negative	A	39	No	No
9 10	26	8	10	2	No	Negative	A A	69 50	Yes [†]	No
12	25 26	5 4	14 14	2 4 3	No	Negative	β-ΤϹΡ β-ΤϹΡ β-ΤϹΡ	58 56*	No No	No No
13	25 26	5 5	12 12	4 2	No No	Negative Negative	A	62*	No No	No No
14 Means	24	8 6.8 ± 1.6 [‡]	13 12.2 ± 1.4‡	3 3.0 ± 0.8	No	Negative	β-ΤϹΡ	41 66.9 ± 20.7	No	No

*Migration of grafting material.

[†]Polypoid mucosa.

 $^{\ddagger}P < .0005.$

Elevation = Height of elevation of the cortical plate by an osteotome until the concomitant dissection of the sinus membrane in the periphery stops; β -TCP = β -tricalcium phosphate; A = autograft.

Region: 14 = right first premolar; 15 = right second premolar; 16 = right first molar; 24 = left first premolar; 25 = left second premolar; 26 = left first molar.

RESULTS

The residual height of the alveolar crest ranged from 4 to 9 mm (mean value 6.8 ± 1.6 mm). The increase in the height of the implant sites by an osteotome technique alone, up to the point where the concomitant spontaneous dissection of the sinus membrane in the periphery of the elevated region stopped and the tension of the sinus membrane revealed the risk of rupture, was 3.0 ± 0.8 mm (range 2 to 5 mm). After further sinus lifting by blunt elevators, implants 10 to 16 mm in length could be placed (mean length 12.2 ± 1.4 mm). They were significantly larger than the height of the residual alveolar crest at the implant sites (6.8 ± 1.6) mm; P < .0005). The chosen amount of 0.5 cm³ of grafting material was found sufficient in all cases. The ECOSFE operations lasted an average of 66.9 \pm 20.7 minutes from the first incision until the final suture (range 35 to 115 minutes) (Table 2).

A perforation of the sinus membrane occurred in 1 patient (#1) after a 2-mm elevation of the cortical plate with an osteotome (Table 2). However, the Valsalva maneuver was negative. Further sinus floor elevation was carried out with the blunt elevator. A periosteal patch harvested from the cranial aspect of the mucoperiosteal flap and applied through the implant cavity was used to cover the perforation. Subsequently, augmentation of the sinus floor was carried out with an alloplastic material $(\beta$ -TCP) and the implant was placed. In another patient (#10; Table 2), bleeding within the sinus occurred and did not stop after copious saline irrigation. Therefore, the endoscopically controlled procedure was aborted and the sinus floor elevation was carried out by the lateral window technique below the puncture for the sinuscopy. The Valsalva maneuver did not reveal a perforation of the sinus membrane (Table 2). Two implants were placed successfully.

Table 3 Review of the Current Literature

Authors	Patients	Osteotome technique	Endoscopic control	Perforations	Endoscopic follow-up	Prospective design
Baumann and Ewers 1999 ¹³	10	Yes	Yes	1	No	No
Deckwer and Engelke 199845	8	No	Yes	2	Yes	No
Deporter et al 2000 ¹⁹	16	Yes	No	?	No	Yes
Engelke and Deckwer 1997 ¹⁴	8	No	Yes	0	No	No
Horowitz 1997 ⁸	18	Yes	No	?	No	No
Ioannidou and Dean 2000 ²⁰	1	Yes	No	No	No	No
Rosen et al 1999 ⁷	101	Yes	No	?	No	No
Wiltfang et al 2000 ¹⁵	18	No	Yes	0	Yes	Yes
Yildirim et al 1998 ²²	15	Yes	No	?	No	No
Zitzmann and Schärer 1998 ³³	20	Yes	No	?	No	No

? = Not specified.

The postoperative healing period proceeded uneventfully in all cases. Acute sinusitis was not observed. A follow-up radiologic examination after 5 months (panoramic radiograph) revealed no pathologic findings. After 6 postoperative months, stage 2 surgery was performed. The healing abutments were mounted with a torque of 20 Ncm. Twenty implants showed no mobility. Two implants were removed because of mobility (patient #1; Table 2). The Valsalva maneuver did not reveal perforation of the sinus membrane. However, a soft tissue closure was carried out to reduce the risk of an oroantral fistula.

The sinuscopic control revealed 16 sinuses without pathologic findings. Saline irrigation confirmed the communication between the maxillary sinus and nasal cavity in all cases. Perforation of the implants through the sinus membrane was not visible in these patients. Migration of the augmentation material within the sinus was found in 1 patient (#1; Table 2), in whom perforation of the sinus membrane occurred during the elevation procedure; this was covered by a periosteal patch. The sinus membrane showed no pathologic findings and the perforation was no longer visible. Copious saline irrigation of the sinus and aspiration of the β -TCP were performed.

In another patient, polypoid mucosal areas were visible on the sinus floor (patient #11; Table 2). Displaced augmentation material could not be found. The patient did not suffer from clinical signs of chronic sinusitis. Therefore, no further treatment was carried out.

DISCUSSION

The osteotome technique has been well established for implant placement in the posterior maxilla.¹⁶ Experimental animal trials have shown an improved bone-to-implant contact percentage compared to conventional implant placement in the early phase of the healing period.¹⁷ Whereas survival rates of 65% have been found for preparation of the implant site in the posterior maxilla with drills, results with the osteotome technique are much more favorable,³ ranging from 85.7% to 96%.^{6,7,18} Therefore, use of the osteotome technique seems to be a safe procedure in the posterior maxilla. The technique for the internal sinus floor elevation with and without bone grafts has been described previously (Table 3).6-8,13,18-22 Only one report could be found in the literature that refers to an osteotome sinus floor elevation technique with endoscopic control.13 However, it did not provide prospective data. Therefore, the present prospective study has been carried out to assess information on: (1) the limits in gain of the height of the sinus floor elevation by an endoscopically controlled osteotome technique, (2) the number of sinus membrane perforations, (3) the postoperative condition of the sinuses, and (4) the operation time.

Sinus floor elevation procedures are routinely performed, although the function of the maxillary sinus is not totally understood. Some of its functions might be adding resonance to the voice, some degree of olfactory function, warming and humidifying inspired air, and a reduction of the weight of the skull.^{23,24} Therefore, it seems to be reasonable to confine the augmentation volume of the maxillary sinus to a minimum. With the lateral window technique, an average augmentation volume of 3.50 ± 1.33 cm³ has been considered necessary to place an implant of 13 mm in length when the residual bone height is 5 mm.²⁵ However, when the osteotome sinus floor elevation is performed, a minimum augmentation volume of only 0.5 cm³ is required because of the local aspect of the augmentation. Therefore, with this technique, extensive changes in antral morphology and function have not been anticipated.

Another decisive factor that encourages the use of a minimally invasive sinus floor elevation technique is the desire for undisturbed vascularization of the grafting material placed in the sinus floor during the healing period. Vascularization is provided by an endosseous and an extraosseous anastomosis between the posterior superior alveolar artery and the infraorbital artery and branches of these vessels in the sinus membrane. With the osteotome sinus floor elevation, mucoperiosteal flap retraction can be reduced to a minimum, and only a puncture of the sinus through the bony wall of the canine fossa must be carried out.^{26,27} The risk of damaging the periosteum and the blood supply in the lateral antral wall is decreased. However, the present study shows that massive bleeding because of vessel vulnerability cannot be excluded (patient #10; Table 2).

Residual bone height less than 4 mm is associated with reduced primary implant stability.²⁸⁻³¹ A finite element analysis has shown that reduced augmentation volume, as should be expected with the osteotome sinus floor elevation, leads to further decrease of implant stability.32 Therefore, it is not surprising that during the follow-up in the present study, 2 implants were lost in sites with a reduced residual alveolar crest of 4 mm, of which 1 site showed an additional perforation of the sinus membrane with migration of the grafted material (patient #1; Table 2). In cases of severely resorbed maxillae, the minimally invasive sinus floor elevation seems not to be the method of choice; a 2-stage procedure with the conventional lateral window technique would be preferred.33 However, many implant sites in the posterior maxillae show only mild degrees of bony resorption, allowing sufficient primary stability but not an implant length of 13 mm as recommended by Kent and Block³⁴; for example, in the present study where the average residual bone height was 6.8 ± 1.6 mm. Such implant sites are suitable for the osteotome sinus floor elevation. A mean height in the elevation of 3.0 ± 0.8 mm could be attained by an endoscopically controlled osteotome technique alone until no further concomitant spontaneous dissection of the sinus membrane in the periphery of the elevated area occurred.

Finally, implants could be placed with a mean length of over 12 mm (Table 1). However, in some patients, the risk of rupture of the sinus membrane was encountered after an elevation of 2 mm because the spontaneous dissection stopped (patients #2, #6, #10, and #11; Table 2). When there is no visualization, further dissection with a blunt elevator is difficult without harming the integrity of the sinus membrane. Although it has been said that implants perforating the sinus membrane and penetrating the sinus will have no reduced survival rate, displacement of alloplastic material through the sinus membrane can lead to transient or chronic sinusitis in 10% to 20% of sinus elevation cases, prompting the need for further treatment.^{35–39} Dislocated bone chips may also initiate local inflammation and subsequent severe resorption of the bone graft.⁴⁰ Spread of the grafting material can be prevented by using block grafts.^{41,42} Unfortunately, in these cases, a larger lateral window has to be created, which may harm the blood supply in this region. With the lateral window technique, rates of membrane perforation during bone grafting of the maxillary sinus of 35% have been reported.⁴²⁻⁴⁴ When using the ECOSFE, perforations of the sinus membrane cannot be excluded. They have been encountered by previous investigators and in the present study.^{13,45}

In attempting the osteotome sinus floor elevation, the pressure at the tip of the osteotomes can increase the risk of perforation of the sinus membrane.8 It has been proposed to take advantage of hydraulic forces created during the osteotome sinus floor elevation to avoid rupture of the sinus membrane.^{7,18} With this technique, the osteotomes do not enter the sinus. After the graft is placed into the osteotomy, it exerts pressure on the sinus membrane by reinsertion of the osteotome so as to elevate the sinus floor. However, this procedure is based only on a hypothesis that has never been proved either in experimental or in clinical studies because of the lack of an appropriate method for visualization. Intraoperative endoscopic examination will help determine whether this hypothesis is acceptable or not. In the present study, this technique was not adopted because autogenous bone from the mandible was used that had been particulated in a bone mill. With these potentially sharp-edged bone chips, perforation of the sinus membrane seemed likely to occur if the particulated material had been used to elevate the sinus membrane.

When the internal sinus floor elevation is carried out without visualization, there is little opportunity to detect perforations. In these cases, elevation should be confined to an average height of 3 mm.^{8,33} Before insertion of the augmentation material, the Valsalva maneuver is one of the few possibilities for recognition of perforations. However, in the present study the perforation that was visible by endoscopy was related to a negative Valsalva maneuver, which shows the limited effectiveness of this test.

It has been claimed that special training is necessary before the time-consuming endoscopically controlled procedure is used.⁴⁵ The additional endoscopic equipment, the need for a second surgeon, and the technically challenging, time-consuming procedure all seem to limit the use of this technique to scientific trials. It is not surprising that previous investigators have only treated small numbers of patients.^{13–15,45} To date, the shortcomings of the ECOSFE procedure seem to outweigh the advantage of the minimally invasive aspect.

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